

UNITED STATES DEPARTMENT OF AGRICULTURE  
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING  
VIOLATION OF FEDERAL REGULATIONS

CASE NUMBER:  
**TN160258**

VIOLATOR:  
Lincoln Memorial University

Customer# 847  
License# 63-R-0019

ADDRESS (Street, City, State, ZIP Code):  
Cumberland Gap Pkwy  
Harrogate, TN 37752

The U.S. Department of Agriculture has evidence that on or about the date(s) listed below, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

**Date(s): January 07, 2016 and April 30, 2015**

**§ 2.31(c)(6) - Institutional Animal Care and Use Committee (IACUC).**

*IACUC functions.* With respect to activities involving animals, the IACUC, as an agent of the research facility, shall: review and approve, require modifications in (to secure approval), or withhold approval of those components of proposed activities related to the care and use of animals.

**§ 2.31(c)(7) - Institutional Animal Care and Use Committee (IACUC).**

*IACUC functions.* With respect to activities involving animals, the IACUC, as an agent of the research facility, shall: review and approve, require modifications in (to secure approval), or withhold approval of proposed significant changes regarding the care and use of animals in ongoing activities.

**§ 3.11(c) - Cleaning, sanitization, housekeeping, and pest control.**

*Housekeeping for premises.* Premises where housing facilities are located, including buildings and surrounding grounds, must be kept clean and in good repair to protect the animals from injury, to facilitate the husbandry practices required in this subpart, and to reduce or eliminate breeding and living areas for rodents and other pests and vermin. Premises must be kept free of accumulations of trash, junk, waste products, and discarded matter. Weeds, grasses, and bushes must be controlled so as to facilitate cleaning of the premises and pest control, and to protect the health and well-being of the animals.

*The above is not intended to be an all-inclusive list. Please know that you are responsible for ensuring that your facility, animals, and property are in compliance with the Animal Welfare regulations. Inspection reports can be found on APHIS' website at:*

*<https://ucissearch.aphis.usda.gov/LPASearch/faces/Warning.jspx>.*

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

**APHIS OFFICIAL (Name):**

Gerald Rushin

**OFFICE ADDRESS:**4700 River Road  
Riverdale, MD 30737**APHIS OFFICIAL (TITLE):**Assistant Deputy Administrator  
Animal Care**DATE ISSUED:**

August 09, 2016

**TELEPHONE NO:**

(919) 855-7116

**CERTIFIED MAIL RECEIPT NO:**

7015 1520 1520 0001 1869 6499